

It is time to look back at the basic relation $\{Q_\alpha, \bar{Q}_{\dot{\alpha}}\} = 2\sigma_{\alpha\dot{\alpha}}^\mu P_\mu$ and reexamine its role when $Q_\alpha, \bar{Q}_{\dot{\alpha}}$ are operators.

So far we assumed that $Q_\alpha, \bar{Q}_{\dot{\alpha}}$ are well defined on \mathbb{F} and

$$Q_\alpha |0\rangle = \bar{Q}_{\dot{\alpha}} |0\rangle = 0 \quad (\text{SUSY UNBROKEN}).$$

in this case $\begin{matrix} Q_\alpha \\ \bar{Q}_{\dot{\alpha}} \end{matrix} : |\text{boson}\rangle \longleftrightarrow |\text{fermions}\rangle$

is true also when restricted to 1 part. states.

Since $[Q_\alpha, P^2] = [\bar{Q}_{\dot{\alpha}}, P^2] = 0$ this means that there is a degeneracy between fermion and bosons.

We now consider the case where

$Q_\alpha, \bar{Q}_{\dot{\alpha}}$ are not well def on 1 part.

states and $Q_\alpha |0\rangle \neq 0$ (or $\bar{Q}_{\dot{\alpha}} |0\rangle \neq 0$).

First of all, how are Q_α $\bar{Q}_{\dot{\alpha}}$ defined in terms of fields?

Consider the most general L w/ chiral superfields and vector superfields.

Let $X^A = \varphi, \bar{\varphi}, \psi, \bar{\psi}, f, \bar{f}, \lambda, \bar{\lambda}, A_\mu, D$

write the susy transformations as

$$\delta_\epsilon X^A = \epsilon^\alpha \Delta_\alpha^A(X) \quad (\text{similarly for } \bar{\epsilon})$$

(eg: $\delta_\epsilon \varphi = \epsilon \psi$ etc...)

We cannot hope that $\delta_\epsilon L = \delta_{\bar{\epsilon}} L = 0$

because this $\Rightarrow \partial_\mu L = 0$ (L const)

The best we can do is

$$\delta_\epsilon L = \epsilon \partial_\mu K^\mu$$

(without using the eq of motion!)

This is good enough to ensure that $S = \int d^4x \mathcal{L}$ is invariant and that

$$\epsilon S^\mu = \frac{\partial \mathcal{L}}{\partial \partial_\mu X^A} \epsilon \Delta^A(x) - \epsilon K^\mu$$

is conserved (using the eq. of motion).

$$\begin{aligned} \delta_\epsilon \mathcal{L} &= \frac{\partial \mathcal{L}}{\partial X^A} \delta_\epsilon X^A + \frac{\partial \mathcal{L}}{\partial \partial_\mu X^A} \partial_\mu \delta_\epsilon X^A = \\ &= \underbrace{\left(\frac{\partial \mathcal{L}}{\partial X^A} - \partial_\mu \frac{\partial \mathcal{L}}{\partial \partial_\mu X^A} \right)}_{= 0 \text{ by eq. of motion}} \delta_\epsilon X^A + \partial_\mu \left(\frac{\partial \mathcal{L}}{\partial \partial_\mu X^A} \epsilon \Delta^A \right) \end{aligned}$$

Comparing with

$$\delta_\epsilon \mathcal{L} = \epsilon K^\mu$$

gives ϵS^μ conserved //

S^μ is called the SUPER CURRENT.

In its full glory:

$$S_\alpha = (\sigma^\nu_{\dot{\alpha}\alpha} \psi_i)_\alpha D_\nu \bar{\varphi}^i + i (\sigma^\mu \bar{\psi}^i)_\alpha \bar{W}_i + \\ + \frac{1}{2\sqrt{2}} (\sigma^\nu_{\dot{\alpha}\beta} \sigma^\mu \bar{\lambda}^a)_\alpha F_{\nu\mu} - \frac{i}{\sqrt{2}} g \bar{\varphi} T^a \psi (\sigma^\mu \bar{\lambda}^a)_\alpha$$

Thus $Q_\alpha = \int d^3x S_\alpha^0$ and $\bar{Q}_{\dot{\alpha}} = \int d^3x \bar{S}_{\dot{\alpha}}^0$

just like $P_\mu = \int d^3x T_{\mu 0}$

Also "stripping off an $\int d^3x$ from the anticommutation relations" and covariantizing

$$\{Q_\alpha, \bar{S}_{\dot{\alpha}}^\mu(x)\} = \{\bar{Q}_{\dot{\alpha}}, S_\alpha^\mu(x)\} = 2\sigma_{\alpha\dot{\alpha}}^\nu T_{\nu}^\mu(x)$$

for some properly "improved" $T_{\mu\nu}$.

The supercurrent $S_\alpha^\mu, \bar{S}_{\dot{\alpha}}^\mu$ and the stress energy tensor $T_{\mu\nu}$ belong to the same multiplet.

Consider now $\langle 0 | T_{\mu\nu}(x) | 0 \rangle$.

The only possible value allowed by Poincaré invariance is

$$\langle 0 | T_{\mu\nu}(x) | 0 \rangle = \Lambda^4 \eta_{\mu\nu}$$

If $\Lambda \neq 0$ it must be that

$Q_\alpha | 0 \rangle$ and $\bar{Q}_\alpha | 0 \rangle \neq 0$ and SUSY
IS SPONTANEOUSLY BROKEN.

(As an aside, we always want $P_\mu | 0 \rangle = 0$
and this can be accomplished by
considering $\hat{T}_{\mu\nu} = T_{\mu\nu} - \Lambda^4 \eta_{\mu\nu}$, i.e.
adding a constant to the action,
but this does not help since the
 $Q_\alpha \bar{Q}_\alpha$ close on $T_{\mu\nu}$, not $\hat{T}_{\mu\nu}$.)

Classically $\langle 0 | T_{\mu\nu}(X) | 0 \rangle \neq 0 \Rightarrow$
 $V > 0 \Rightarrow f_i \neq 0$ or $D^i \neq 0$
 (or both).

We first show that this $\Rightarrow \exists$ of
 a massless fermion (the "Goldstino")
 (NB, in SUGRA this gets eaten by the
 gravitino giving it a mass).

Let's restrict our attention to the
 WZ model and do an eff field
 theory analysis.

Let $W(\varphi_i)$ be a generic superpot
 that does NOT admit susy vacua:

$\frac{\partial W}{\partial \varphi_i} \neq 0$ always. ($\bar{f}^i \neq 0$).

Consider $V = \frac{\partial W}{\partial \varphi_i} \frac{\partial \bar{W}}{\partial \bar{\varphi}^i}$ the POTENTIAL.

For the theory to be well defined
 this MUST have a minimum:

$$\frac{\partial V}{\partial \varphi_i^0} = \frac{\partial^2 W}{\partial \varphi_i \partial \varphi_j} \frac{\partial \bar{W}}{\partial \bar{\varphi}^i} = 0 \quad \text{at } \varphi_i = \varphi_i^0$$

This means that the matrix

$\frac{\partial^2 W}{\partial \psi_i \partial \psi_j} \Big|_{\psi^0}$ has a zero eigenvalue

whose eigenvector is $\frac{\partial \bar{W}}{\partial \bar{\psi}_i} \Big|_{\bar{\psi}^0} \neq 0$.

But the matrix above is just the mass matrix of $\psi_i \bar{\psi}_j$!

$\Rightarrow \exists$ a zero mass fermion.

// This is analogous to the proof of \exists Goldstone boson. Consider a potential $V(\phi^a)$ (ϕ^a real), invariant under an infinitesimal rotation

$$\delta_\alpha \phi^a = i \alpha^A T^A_{ab} \phi^b$$

($\alpha^A \in \mathbb{R}$, T^A generators of the symmetry group).

$$\Rightarrow \delta_\alpha V = 0 \Rightarrow \frac{\partial V}{\partial \phi^a} T^A_{ab} \phi^b = 0, \forall A.$$

Taking a further derivative:

$$\frac{\partial^2 V}{\partial \phi^a \partial \phi^c} T^A_{ab} \phi^b + \frac{\partial V}{\partial \phi^a} T^A_{ac} = 0.$$

Assume a min s.t. $T_b^a \phi_0^b \neq 0$ (breaking the sym.)

$$\frac{\partial V}{\partial \phi^a} \Big|_{\phi_0^d} = 0 \Rightarrow$$

$\frac{\partial^2 V}{\partial \phi^a \partial \phi^c} \Big|_{\phi_0^d}$ has a zero mode //

Substituting the solution $f_i^{(0)} \neq 0$ into S_α^μ (keeping for simplicity $D^a=0$) we get

$$S_\alpha^\mu(x) = -i f_i^{(0)} \sigma^\mu \bar{\psi}_\alpha^i(x)$$

Hence $\mathcal{Q}_\alpha = \int S_\alpha^0(x) d^3x$ is not well defined because instead of mapping n part states to themselves, it "tries" to add a zero momentum goldstino to them. (To make it well defined we will put it in a box).

//Again, this is analogous to the case of the goldstone boson.

$$\text{Consider } L = \partial_\mu \varphi^* \partial^\mu \varphi - \lambda (|\varphi|^2 - v^2)^2.$$

$$\delta \varphi(x) = i \alpha \varphi(x) \quad \alpha \in \mathbb{R} \text{ constant.}$$

$$J^\mu = i (\varphi^* \partial^\mu \varphi - \varphi \partial^\mu \varphi^*) \text{ conserved.}$$

$\varphi_0 = v \in \mathbb{R}$ breaks the $U(1)$ symmetry.

$$\varphi(x) = v + \frac{a(x) + i b(x)}{\sqrt{2}} \Rightarrow \begin{cases} a & \text{massive} \\ b & \text{massless.} \end{cases}$$

$$J^\mu \simeq -\sqrt{2} v \partial_\mu b //$$

A QUANTUM MECHANICAL Example: (Witten)

Part. on a line \xrightarrow{x}
 $(P = -i\hbar \partial_x)$ w/ 2-comp. wf. $\psi(x) = \begin{pmatrix} \psi_1(x) \\ \psi_2(x) \end{pmatrix}$

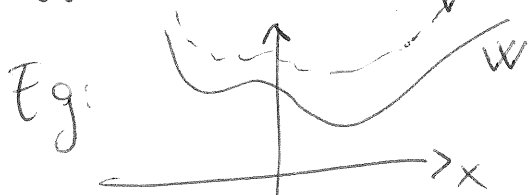
$$\text{Let } Q_1 = \frac{1}{2} (\sigma_1 P + \sigma_2 W(x))$$

$$Q_2 = \frac{1}{2} (\sigma_2 P - \sigma_1 W(x)).$$

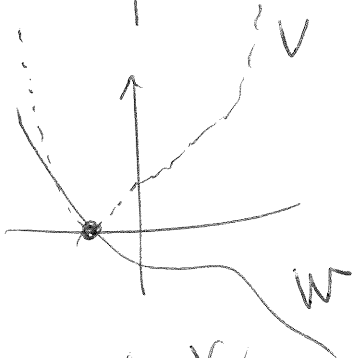
$$\{Q_1, Q_1\} = \{Q_2, Q_2\} = H \equiv \frac{1}{2} \left(P^2 + W(x)^2 + \hbar^3 \frac{\partial W}{\partial x} \right)$$

$$\{Q_1, Q_2\} = 0, \quad [Q_1, H] = [Q_2, H] = 0.$$

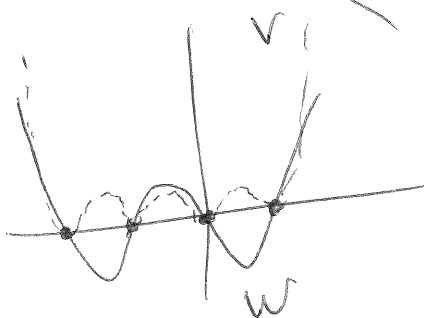
Classical ($\hbar \rightarrow 0$) susy vacua: $W(x_i) = 0$



susy classically.



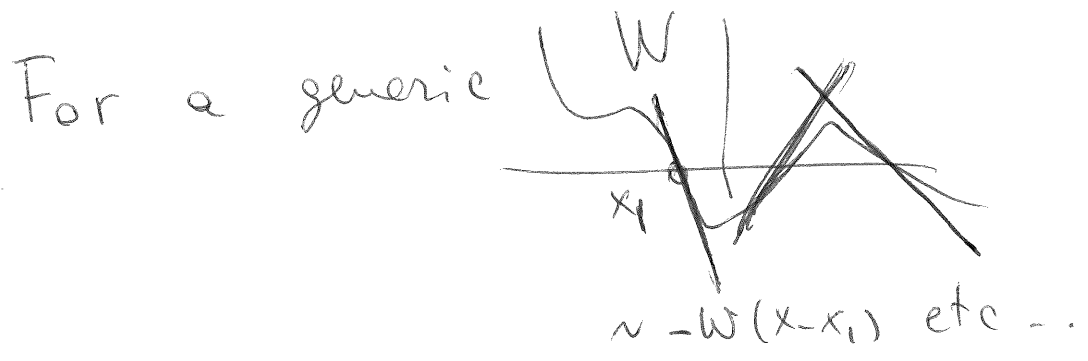
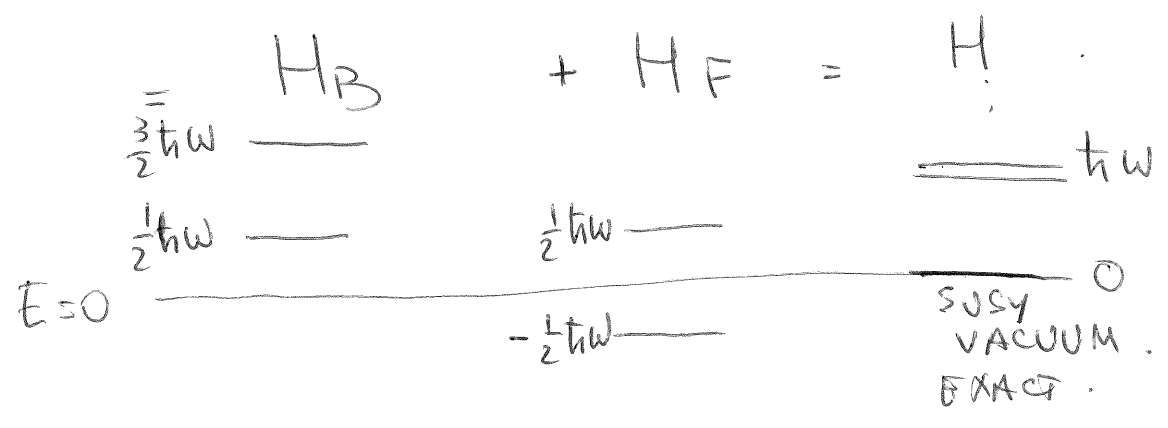
1 class. vacua.



4 class. vacua.

Consider $W(x) = \omega x$
 (susy harmonic oscillator).

$$H = \frac{1}{2} (p^2 + \omega^2 x^2 + \hbar \sigma_3 \omega) =$$



So perturbing around each point
 does not lift the vacuum energy.

But...

Exact $H\psi = 0$ sol $\Rightarrow Q_1\psi = Q_2\psi = 0$.

In this case $Q_1\psi = 0 \Rightarrow Q_2\psi = 0$

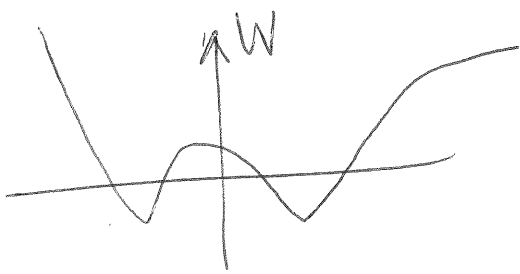
(multiply by $-i\sigma_3$)

$$Q_1\psi = 0 \Leftrightarrow \frac{d\psi}{dx} = \frac{1}{\hbar} W(x) \sigma_3 \psi$$

(multiply by $2i\sigma_1$) $\frac{1}{\hbar} \int_0^x W(x') \sigma_3 dx'$ $\begin{pmatrix} \psi_0^1 \\ \psi_0^2 \end{pmatrix}$

Solution = $\psi(x) = \begin{pmatrix} e^{\frac{1}{\hbar} \int_0^x W dx'} \\ e^{-\frac{1}{\hbar} \int_0^x W dx'} \end{pmatrix} \begin{pmatrix} \psi_0^1 \\ \psi_0^2 \end{pmatrix}$
 2×2 diagonal.

$$= \begin{pmatrix} e^{\frac{1}{\hbar} \int_0^x W dx'} \psi_0^1 \\ e^{-\frac{1}{\hbar} \int_0^x W dx'} \psi_0^2 \end{pmatrix}$$



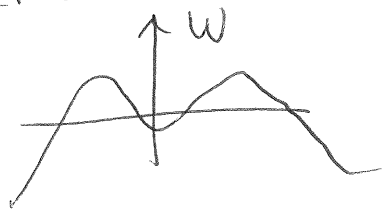
$$e^{\frac{1}{\hbar} \int_0^x W dx'}$$

NOT NORM. $\Rightarrow \psi_0^1 = 0$
 for $x \rightarrow \infty$

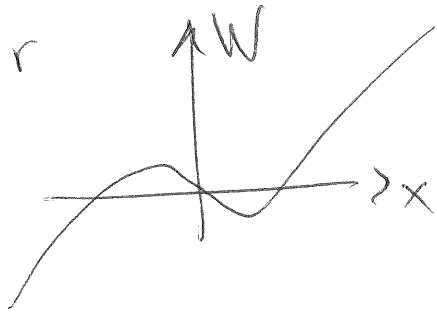
$$e^{-\frac{1}{\hbar} \int_0^x W dx'}$$

NOT NORM. $\Rightarrow \psi_0^2 = 0$
 for $x \rightarrow -\infty$

\Rightarrow NO SUSY ground state.
 Similarly for



For



$$e^{+\frac{i}{\hbar} \int_0^x W dx'}$$

NOT NORM

$$\Rightarrow \psi_0' = 0$$

$$e^{-\frac{i}{\hbar} \int_0^x W dx'}$$

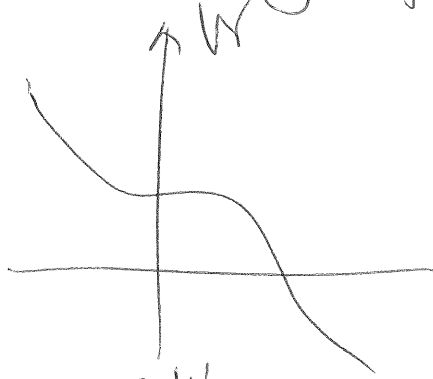
NORMALIZABLE.



Unique ground state

$$\left(\begin{array}{c} 0 \\ e^{-\frac{i}{\hbar} \int_0^x W dx'} \end{array} \right)$$

Similarly for



$$e^{+\frac{i}{\hbar} \int_0^x W dx'}$$

$$\left(\begin{array}{c} e \\ 0 \end{array} \right)$$

NORMALIZABLE.

CLASS SOSy
VACUA

EXACT SOSy
VACUA

0

0

1

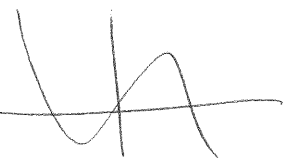
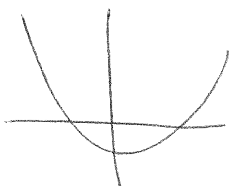
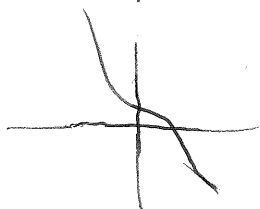
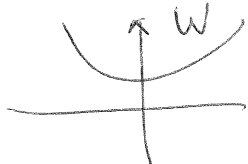
1

2

0

3

1



The condition for ~~susy~~ can also be rephrased in terms of an order parameter

~~susy~~ $\Leftrightarrow \exists A(x)$ st.

1) $A = \{Q^\alpha, B_\alpha\}$ for some B^α
(or $\bar{Q}^{\dot{\alpha}}$)

2) $\langle 0 | A(x) | 0 \rangle \neq 0$.

Note that in order for $\langle A \rangle \neq 0$ NOT to break Lorentz invariance it must be a scalar.

In the WZ model there are two scalar objects: ψ_i and f_i

$\langle \psi \rangle \neq 0$ DOES NOT break susy because 1) is not satisfied.

$\langle f \rangle \neq 0$ DOES since $f = \{Q^\alpha, \psi_\alpha\}$.

These operators can also be composite:

$\langle \text{tr} d^2 \rangle \neq 0$ Does NOT break susy

$\langle \text{tr} F_{uv}^2 \rangle \neq 0$ DOES.

The two classical examples (of ~~Susy~~ at the classical level) are

1) O'Raifeartaigh model: (F-term ~~Susy~~)

$$W = m \phi_1 \phi_2 + f X + \frac{h}{2} X \phi_1^2.$$

$$\frac{\partial W}{\partial \phi_1} = m \phi_2 + h X \phi_1 = 0$$

$$\frac{\partial W}{\partial \phi_2} = m \phi_1 = 0 \quad \left. \vphantom{\frac{\partial W}{\partial \phi_2}} \right\} \text{NO SOLUTIONS.}$$

$$\frac{\partial W}{\partial X} = f + \frac{h}{2} \phi_1^2 = 0$$

For h not too big the "best" we can do is set $\phi_1 = \phi_2 = 0 \quad X \in \mathbb{C}$.

X spans the "pseudo" - moduli space since $V \neq 0$ there.

One can check that

$$\frac{\partial V}{\partial \phi_1} = \frac{\partial V}{\partial \phi_2} = \frac{\partial V}{\partial X} = 0 \quad \text{at those values.}$$

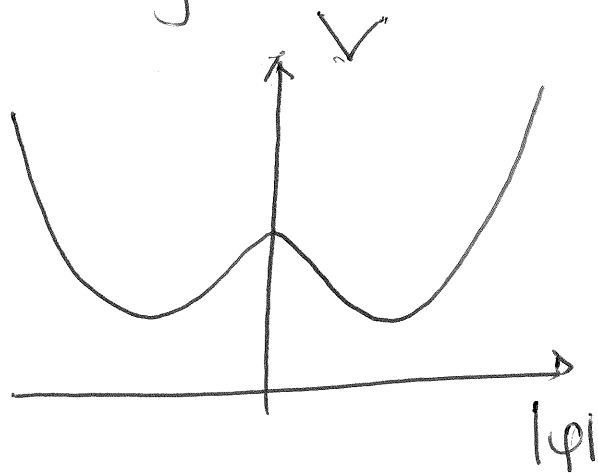
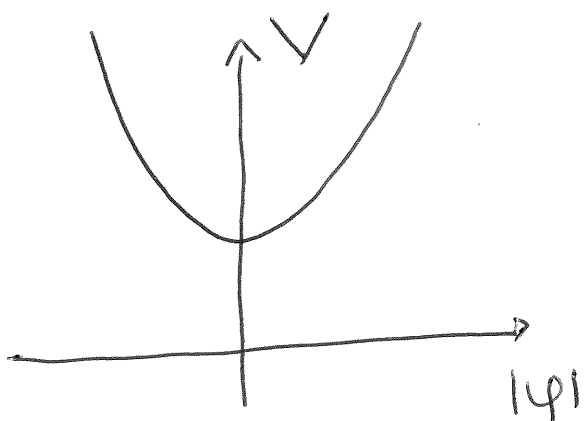
2) Fayet - Iliopoulos. (D-term ~~Susy~~)

$U(1)$ gauge theory w/ $\varphi, \tilde{\varphi}$ of charge ± 1 .

$W = m \varphi \tilde{\varphi}$ and $\mathcal{L} \supset \int D$ (ok for $U(1)$).

$$V = m^2 |\varphi|^2 + m^2 |\tilde{\varphi}|^2 + (\xi + e^2 |\varphi|^2 - e^2 |\tilde{\varphi}|^2)^2$$

Clearly $V > 0$ always.



$$2e^2 |\xi| < m^2$$

$U(1)$ unbroken

$$2e^2 |\xi| > m^2$$

$U(1)$ broken.

(for $\xi < 0$. For $\xi > 0$ switch $\varphi \leftrightarrow \tilde{\varphi}$)

We will mostly study F-Term
~~Susy~~.

Additional facts on F-term ~~SUSY~~

NELSON-SEIBERG criteria:

First, notice that a GENERIC W does not break susy in the sense that

given a generic $W(\varphi_1, \dots, \varphi_n)$
the set of n eq. $\frac{\partial W}{\partial \varphi_1} = \dots = \frac{\partial W}{\partial \varphi_n} = 0$

(n eq. in n variables) has solutions.

Restricting W to have an ordinary global symmetry (generically w/in this class), does not help since
(assume $q(\varphi_n) = q_n \neq 0$)

$$W(\varphi_1, \dots, \varphi_n) \equiv \hat{W}\left(\frac{\varphi_1}{\varphi_n^{q_1/q_n}}, \dots, \frac{\varphi_{n-1}}{\varphi_n^{q_{n-1}/q_n}}\right)$$

$$\|q(W) \neq 0\| \equiv \hat{W}(x_1, \dots, x_{n-1}).$$

For $i < m$: $\frac{\partial \hat{W}}{\partial \varphi_i} = \frac{1}{\varphi_m^{q_i/q_m}} \frac{\partial \hat{W}}{\partial X_i} = 0 \stackrel{\text{enough!}}{\Leftrightarrow} \frac{\partial \hat{W}}{\partial X_i} = 0$

and ($i = n$) it follows automatically from

$$\frac{\partial \hat{W}}{\partial \varphi_m} = \sum_{i < m} \frac{q_i}{q_m} \frac{\varphi_i}{\varphi_m^{q_i/q_m + 1}} \frac{\partial \hat{W}}{\partial X_i} = 0.$$

We need $\frac{\partial \hat{W}}{\partial X_i} = 0$, $(m-1)$ eq. in $(m-1)$ variables. (same as before).

However, imposing an R-symmetry:
(assume $R(\varphi_m) = r_n \neq 0$)

Now $R(W) = 2 \Rightarrow$

$$W(\varphi_1, \dots, \varphi_m) = \varphi_m^{2/r_m} \hat{W} \left(\frac{\varphi_1}{\varphi_m^{r_1/r_m}}, \dots, \frac{\varphi_{m-1}}{\varphi_m^{r_{m-1}/r_m}} \right) \\ \equiv \varphi_m^{2/r_m} \hat{W}(X_1, \dots, X_{m-1}).$$

Now

$$\frac{\partial W}{\partial \psi_i} = \varphi_m^{\frac{2-r_i}{r_m}} \frac{\partial \hat{W}}{\partial x_i} \quad \text{for } i < m$$

and

$$\frac{\partial W}{\partial \varphi_m} = \frac{2}{r_m} \varphi_m^{\frac{2}{r_m}-1} \hat{W} + \sum_{i < m} \frac{r_i}{r_m} \varphi_m^{\frac{2-r_i}{r_m}-1} \psi_i \frac{\partial \hat{W}}{\partial x_i}$$

So the previous argument does not apply.

\therefore ~~SUSY~~ \Rightarrow W has an R-symmetry.

Also, if $\langle \varphi_m \rangle \neq 0$ (R spontaneously)

the conditions really just become:

$$\frac{\partial \hat{W}}{\partial x_i} = \hat{W} = 0 \quad n \text{ Eq. } (n-1) \text{ (variables)}$$

(no sol. generically)

\therefore W has R-symmetry AND R spontaneously \Rightarrow ~~SUSY~~.

Let us look more in detail
on the structure of ~~SUSY~~

vacua.

$$\left(\text{let } W_i = \frac{\partial}{\partial \varphi_i} W, \quad \bar{W}_i = \frac{\partial}{\partial \bar{\varphi}^i} \bar{W} \text{ etc.} \right)$$

$$V = W_i \bar{W}_i$$

A ~~SUSY~~ vacuum has

$$W_i|_{\varphi_0} \neq 0 \quad \text{and} \quad W_{ij} \bar{W}_j|_{\varphi_0} = 0.$$

We saw that this leads to a
goldstino. Now we want to show
that it also implies a pseudo-
moduli space.

Consider the fluctuations around φ_0 :

$$\begin{aligned} \delta V &= W_{ilm} \bar{W}_i \delta \varphi_l \delta \varphi_m + \\ &+ 2 W_{ie} \bar{W}_i \delta \varphi_e \delta \bar{\varphi}_m + \\ &+ W_i \bar{W}_{iem} \delta \bar{\varphi}_e \delta \bar{\varphi}_m. \end{aligned}$$

Define $M_{Fij} = W_{ij}|_{\psi_0}$, $\Delta_{ij} = \overline{W_i} W_{j\epsilon}|_{\psi_0}$

The bosonic mass matrix takes the form:

$$M_B^2 = \begin{pmatrix} M_F^+ M_F & \Delta^+ \\ \Delta & M_F M_F^+ \end{pmatrix}$$

We know that $v_j = \overline{W_i}|_{\psi_0}$ is a zero mode of M_F : $M_F v = 0$.

Consider:

$$\begin{pmatrix} v \\ v^* \end{pmatrix}^T M_B^2 \begin{pmatrix} v \\ v^* \end{pmatrix} = v^T \Delta v + v^+ \Delta^+ v^*$$

To have M_B^2 positive (semi) definite we need the RHS = 0 since otherwise I could change its sign by $v \rightarrow i v$.

$\Rightarrow \begin{pmatrix} v \\ v^* \end{pmatrix}^T M_B^2 \begin{pmatrix} v \\ v^* \end{pmatrix} = 0$ but since M_B^2 is positive semi def. $\Rightarrow M_B^2 \begin{pmatrix} v \\ v^* \end{pmatrix} = 0$

$$\Rightarrow \Delta v = 0$$

Thus $N_i^0 \equiv \overline{W}_i|_{\varphi^0}$ is a zero mode of not only M_F but also Δ .

$$\Rightarrow W_{ij} \overline{W}_j|_{\varphi^0} = W_{ijk} \overline{W}_j \overline{W}_k|_{\varphi^0} = 0$$

Now IF THE W IS RENORMALIZABLE,
all $W_{ijk\ell\dots} = 0$ as well.

Hence $\varphi_i^0 + X \overline{W}_i|_{\varphi^0}$ is a solution

for $X \in \mathbb{C}$ PSEUDO-MODULUS. \square

$$W(\varphi_i^0 + X \overline{W}_i|_{\varphi^0}) = W(\varphi_i^0) + W_j(\varphi_i^0) X \overline{W}_j|_{\varphi^0}$$

W IS LINEAR in X . EXACT

Let us split $\varphi_i = (X_a, Z)$

where $\frac{\partial W}{\partial X_a}|_{\varphi^0} \neq 0$ (generally more than one).

Then: $W(X, Z) = X_a f^{(a)}(Z) + g(Z)$
 $f^{(a)}$ at most quadratic, g at most cubic.

$$\frac{\partial W}{\partial X_a} \Big|_{\varphi^0} \neq 0 \Rightarrow f^{(a)}(z^0) \neq 0$$

$$\frac{\partial W}{\partial Z_e} \Big|_{\varphi^0} = 0 \Rightarrow X_a f_e^{(a)}(z^0) + g_e(z^0) = 0$$

$$X \text{ independence} \Rightarrow f_e^{(a)}(z^0) = g_e(z^0) = 0$$

Let's look at the mass matrix for the Z_e and check for tachyons.

$$V = f^{(a)}(Z) \bar{f}^{(a)}(\bar{Z}) + \left(X_a f_e^{(a)}(Z) + g_e(Z) \right) \left(X_b \bar{f}_e^{(b)}(\bar{Z}) + \bar{g}_e(\bar{Z}) \right)$$

$$\frac{\partial^2}{\partial X \partial X}, \frac{\partial^2}{\partial \bar{X} \partial \bar{X}}, \frac{\partial^2}{\partial X \partial \bar{X}}, \frac{\partial^2}{\partial X \partial Z}, \frac{\partial^2}{\partial X \partial \bar{Z}}, \frac{\partial^2}{\partial \bar{X} \partial Z}, \frac{\partial^2}{\partial \bar{X} \partial \bar{Z}} V$$

all VANISH at $Z = z^0$.

$$\frac{\partial^2 V}{\partial Z_p \partial Z_q} \Big|_{z^0} = f_p^{(a)}(z^0) \bar{f}_q^{(a)}(\bar{z}^0) \quad \text{and c.c.}$$

$$\frac{\partial^2 V}{\partial Z_p \partial \bar{Z}_q} \Big|_{z^0} = \left(X_a f_{ep}^{(a)}(z^0) + g_{ep}(z^0) \right) \left(X_b \bar{f}_{eq}^{(b)}(\bar{z}^0) + \bar{g}_{eq}(\bar{z}^0) \right)$$

Define, in analogy w/ previous computation:

$$\tilde{M}_{em}(x) = X_a f_{em}^{(a)}(Z^0) + g_{em}(Z^0)$$

$$\tilde{\Delta}_{em} = f_{em}^{(a)}(Z^0) \bar{f}^{(a)}(\bar{Z}^0)$$

The mass matrix for the Z 's reads

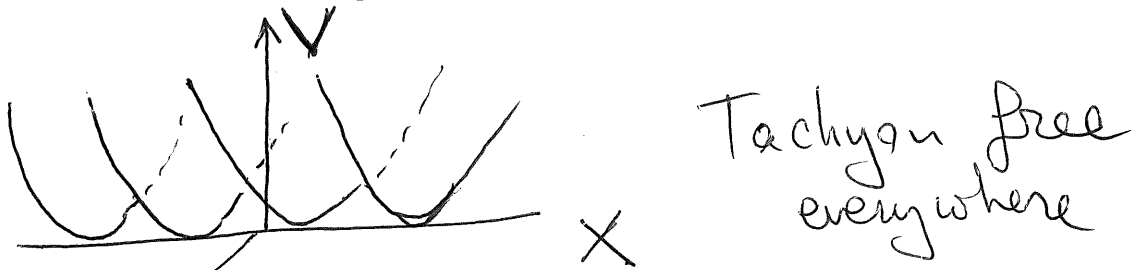
$$\begin{pmatrix} \tilde{M}^+(\bar{x}) & \tilde{M}(x) & \tilde{\Delta}^+ \\ \tilde{\Delta} & \tilde{M}(x) & \tilde{M}^+(\bar{x}) \end{pmatrix} = M_B^2$$

If $\tilde{M}(x)$ has a zero mode for some X then there will be a tachyon induced by the off diagonal terms

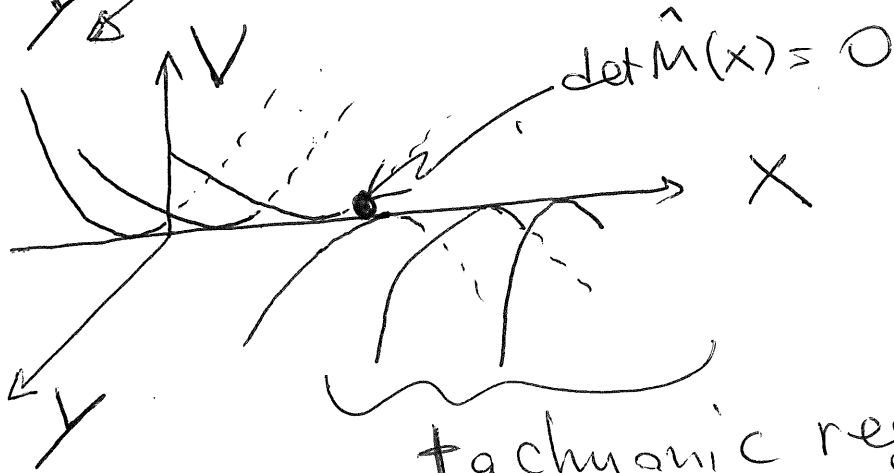
But the only way the polynomial $\det \tilde{M}(x)$ (analytic in x) can be non zero $\forall x$ is if $\det \tilde{M} = \text{const}$

Pseudomoduli space $\iff \det \tilde{M} = \text{const}$
 tachyon free $\forall x$

Schematically:



Tachyon free everywhere



$$\det \hat{M}(x) = 0$$

tachyonic region.

GOING TO A RUN-AWAY OR SUSY VACUUM,
(or even lower SUSY vacuum)

In the tachyon free region,
INTEGRATING OUT THE HEAVY Y 's
yields the one loop C.W.
potential for X .

The Coleman - Weinberg potential.

We have seen that the mass terms for the Z's are:

$$\begin{pmatrix} Z \\ \bar{Z} \end{pmatrix}^\dagger \begin{pmatrix} \tilde{M}^+ \tilde{M} & \tilde{\Delta}^+ \\ \tilde{\Delta} & \tilde{M} \tilde{M}^+ \end{pmatrix} \begin{pmatrix} Z \\ \bar{Z} \end{pmatrix} \quad M_B^2$$

The mass term for the fermionic partners of the Z (let's call them χ)

is, as always:

$$\begin{pmatrix} \chi_\alpha \\ \chi^{\dagger\alpha} \end{pmatrix}^\dagger \begin{pmatrix} 0 & \tilde{M}^+ \\ \tilde{M} & 0 \end{pmatrix} \begin{pmatrix} \chi_\alpha \\ \chi^{\dagger\alpha} \end{pmatrix} \quad M_F$$

$$\tilde{M}(x) = W_{em}(X, Z^0)$$

Squaring M_F We construct a mass matrix for both bosons & fermions.

$$M^2 = \begin{pmatrix} M_B^2 & 0 \\ 0 & M_F^2 \end{pmatrix} = \begin{pmatrix} \tilde{M}^+ \tilde{M} & \tilde{\Delta}^+ & 0 & 0 \\ \tilde{\Delta} & \tilde{M} \tilde{M}^+ & 0 & 0 \\ 0 & 0 & \tilde{M}^+ \tilde{M} & 0 \\ 0 & 0 & 0 & \tilde{M} \tilde{M}^+ \end{pmatrix}$$

For a real scalar:

$$\int \mathcal{D}\varphi e^{-\int d^4x \left(\frac{1}{2} (\partial\varphi)^2 + \frac{1}{2} m_B^2 \varphi^2 \right)}$$

$$= \frac{1}{\sqrt{\det(-\partial^2 + m_B^2)}}$$

$$= e^{-\frac{1}{2} \text{Tr} \log(-\partial^2 + m_B^2)}$$

For a Majorana fermion $\psi = \begin{pmatrix} \chi_\alpha \\ \chi^{+\dot{\alpha}} \end{pmatrix}$

$$(\bar{\psi} = (\chi^\alpha, \chi_{\dot{\alpha}}^+))$$

$$\int \mathcal{D}\psi e^{-\int d^4x (\bar{\psi} \not{\partial} \psi + m_F \bar{\psi} \psi)} = \det(\not{\partial} + m_F)$$

$$= \sqrt{\det(-\partial^2 + m_F^2)} = e^{+\frac{1}{2} \text{Tr} \log(-\partial^2 + m_F^2)}$$

$$\not{\partial} \det(\not{\partial} + m_F) = \det(\gamma_5 (\not{\partial} + m_F) \gamma_5) = \det(-\not{\partial} + m_F)$$

$$\Rightarrow (\det(\not{\partial} + m_F))^2 = \det((\not{\partial} + m_F)(-\not{\partial} + m_F)) = \det(-\partial^2 + m_F^2)$$

$$S_{\text{eff}}(x) \equiv \frac{1}{2} \text{Tr} \log(-\partial^2 + m_B^2(x)) - \frac{1}{2} \text{Tr} \log(-\partial^2 + m_F^2(x))$$

$$\begin{aligned}
\frac{1}{2} \text{Tr} \log(-\partial^2 + m^2) &= \frac{1}{2} \int d^4x \langle x | \log(-\partial^2 + m^2) | x \rangle = \\
&= \frac{1}{2} \int d^4x \int \frac{d^4p}{(2\pi)^4} \int \frac{d^4q}{(2\pi)^4} \langle x | p \rangle \langle p | \log(-\partial^2 + m^2) | q \rangle \langle q | x \rangle \\
&= \frac{1}{2} \int d^4x \int \frac{d^4p}{(2\pi)^4} \int \frac{d^4q}{(2\pi)^4} e^{ip \cdot x} \log(q^2 + m^2) (2\pi)^4 \delta^{(4)}(p - q) e^{-iq \cdot x} = \\
&= \int d^4x \underbrace{\frac{1}{2} \int \frac{d^4p}{(2\pi)^4} \log(p^2 + m^2)}_{V_{\text{eff}} \text{ (depends on } \vec{X} \text{ since } m^2 \equiv m^2(\vec{X}))} \leftarrow \text{pseudo moduli}
\end{aligned}$$

Regularizing:

$$\frac{1}{2} \int_{p^2 < \Lambda^2} \frac{d^4p}{(2\pi)^4} \log(p^2 + m^2) = \frac{1}{2} \Lambda^4 + \frac{1}{2} \Lambda^2 m^2 + \frac{1}{64\pi^2} m^4 \log \frac{m^2}{\Lambda^2} + \mathcal{O}\left(\frac{1}{\Lambda}\right)$$

So, to get the full V_{eff} I must sum the contributions w/ + sign for bosons and - for fermions (Super trace).

TO SUMMARIZE:

When presented w/ a W

1) Study the sol. of $\frac{\partial W}{\partial \varphi_i} = 0$

INCLUDING possible RUN AWAY sol's.

EX 1: $W = m_1 \varphi_1^2 + m_2 \varphi_2^2$

SUSY VACUUM at $\varphi_1 = \varphi_2 = 0$.
(obvious)

EX 2 $W = \lambda \varphi_1 + \frac{h}{2} \varphi_1^2 \varphi_2$

$$\frac{\partial W}{\partial \varphi_1} = \lambda + h \varphi_1 \varphi_2 = 0$$

$$\frac{\partial W}{\partial \varphi_2} = \frac{h}{2} \varphi_1^2 = 0$$

No solutions for φ_1, φ_2 finite

BUT I can get arbitrarily close to zero by

letting:

$$\varphi_1 = \epsilon \quad \varphi_2 = -\frac{\lambda}{h\epsilon} \quad \epsilon \rightarrow 0.$$

RUN AWAY sol. \Rightarrow The theory is not well defined

2) STUDY the sol. of $\frac{\partial V}{\partial \varphi_i} = 0$
 w/ $\frac{\partial W}{\partial \varphi_i} \neq 0$ and
 check for tachyons.

EX2 BIS. Note that the previous ex.
 has a solution of $\frac{\partial V}{\partial \varphi_1} = \frac{\partial V}{\partial \varphi_2} = 0$,
 namely $\varphi_1 = \varphi_2 = 0$.

But it is UNSTABLE:

$$V = \frac{\hbar^2}{4} \varphi_1^2 \varphi_2^2 + (\lambda + \hbar \varphi_1 \varphi_2)(\lambda + \hbar \bar{\varphi}_1 \bar{\varphi}_2)$$

$$\approx \lambda^2 + \lambda \hbar (\varphi_1 \varphi_2 + \bar{\varphi}_1 \bar{\varphi}_2) + \dots$$

Tachyonic.

As it must be since an isolated
 point cannot be stable since
 there is no pseudomodulus.

EX3 O'R. model:

$$W_{\text{OR}} = m\phi_1\phi_2 + fX + \frac{h}{2}X\phi_1^2 \text{ as before.}$$

No SUSY vacua, ~~SUSY~~ for $\phi_1 = \phi_2 = 0$
 $X \in \mathbb{C}$.

The masses for ϕ_1, ϕ_2 are never tachyonic

EX4 MODIFIED O'R.

$$W = W_{\text{OR}} + \frac{1}{2}\mu\phi_2^2$$

Now there is an (isolated) SUSY vacuum but the pseudo-moduli space survives as well. Only, for some values of X the ϕ 's become tachyonic.

DYNAMICAL SUSY BREAKING.

Where does the scale \sqrt{F} come from?
we saw that

$$W = W_{\text{tree}} + \cancel{W_{\text{pert}}} + \underbrace{W_{\text{non-pert.}}}_{\text{can be } \neq 0!}$$

\sqrt{F} could be related
to $\Lambda = \mu \exp\left(-\int^{g^{(u)}} \frac{dg'}{\beta(g')}\right)$ //RG invariant//

$$= M_{\text{pl}} \exp\left(-\int^{g^{(Mpl)}} \frac{dg'}{\beta(g')}\right) \ll M_{\text{pl}}$$

But how can we tell?

Let's start by putting the system in
a finite spacetime volume V .

Now Lorentz invariance is broken
explicitly and # particle-states
but by taking V to be a TORUS
with periodic b.c., we can
preserve TRANSLATIONS.

Also $\partial_x, \bar{\partial}_x$ are now well
defined.

Note that

$$\{Q_1, \bar{Q}_1\} + \{Q_2, \bar{Q}_2\} = 4E$$

positive (semi)definite ops:
eg $\langle \psi | Q_i \bar{Q}_i | \psi \rangle = \|\bar{Q}_i | \psi \rangle\|^2 \geq 0$.

$$\therefore \text{SUSY} \iff E_{\text{vac}} \neq 0$$

Note SUSY UNBROKEN in a box \Rightarrow SUSY UNBROKEN in ∞ volume
 $E_{\text{vac}}(V) = 0 \quad \lim_{V \rightarrow \infty} E_{\text{vac}}(V) = 0$

Although rotation are not symmetries of the box, assuming all sides are equal we can still make a 90° rotation $e^{i\frac{\pi}{2} J_z} = R(90^\circ)$

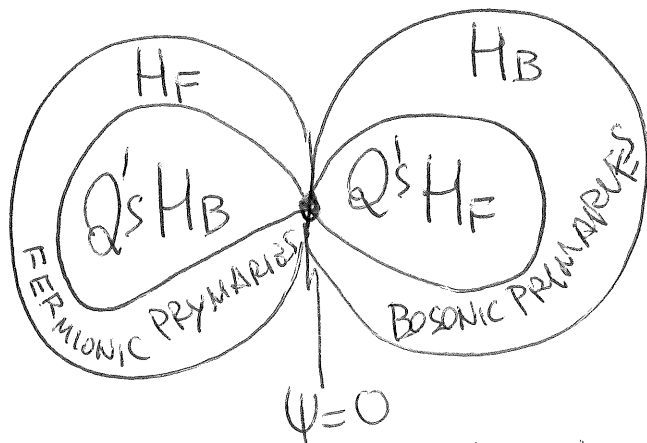
$$\text{Hence: } e^{2\pi i J_z} = R(90^\circ)^4 = \begin{cases} +1 & \text{FOR BOSONS} \\ -1 & \text{FOR FERMIONS} \end{cases}$$

is well defined. Call it $(-)^F$
Defines F even w/o "particles".

Obviously, (from the left overs of the symmetry at ∞ vol.):

$$[H, (-)^F] = \{Q_\alpha, (-)^F\} = \{\bar{Q}_\alpha, (-)^F\} = 0$$

\Rightarrow The Hilbert space can be divided into "Fermionic" and "Bosonic" states and Q 's map the two into each other.



(not the vacuum, just the zero vector.)

A primary is something that cannot be written as Q or \bar{Q} of something else.
 // A FERMIONIC ground state is something like e.g. the Ramond sector, of type I string th. Majorana fermion $\Rightarrow \{d_0^i, d_0^j\} = \delta^{ij}$ and the vacuum carries the rep of the Clifford algebra //

Consider a primary state $|\psi\rangle$
(bosonic or fermionic)

Acting on it w/ Q 's or \bar{Q} 's (can
make AT MOST 16 states altogether.
(8 bosonic and 8 fermionic).

	$Q_1 \psi\rangle$	$Q_1 Q_2 \psi\rangle$	$Q_1 Q_2 \bar{Q}_1 \psi\rangle$
		$Q_1 \bar{Q}_1 \psi\rangle$	
	$Q_2 \psi\rangle$	$Q_1 Q_2 \bar{Q}_1 \psi\rangle$	$Q_1 Q_2 \bar{Q}_1 \bar{Q}_2 \psi\rangle$
$ \psi\rangle$	$\bar{Q}_1 \psi\rangle$	$Q_2 \bar{Q}_1 \psi\rangle$	$Q_1 \bar{Q}_1 \bar{Q}_2 \psi\rangle$
	$\bar{Q}_2 \psi\rangle$	$Q_2 \bar{Q}_2 \psi\rangle$	$Q_1 \bar{Q}_1 \bar{Q}_2 \bar{Q}_1 \psi\rangle$
		$\bar{Q}_1 \bar{Q}_2 \psi\rangle$	
		$\bar{Q}_1 \bar{Q}_2 \bar{Q}_1 \psi\rangle$	

(By the way, this shows that there must
be some primary, or otherwise by
"stripping off" the Q 's) would get a
contradiction)

Note: $Q_1^2 |\psi\rangle = 0$, $Q_2 Q_1 |\psi\rangle = -Q_1 Q_2 |\psi\rangle$

and $\bar{Q}_1 Q_1 |\psi\rangle = -Q_1 \bar{Q}_1 |\psi\rangle + 2(H + P_z) |\psi\rangle$

(I can always imagine $|\psi\rangle$ eigenstate
of H and P_z).

If one charge (say Q_1) annihilates $|4\rangle$,
all "descendants" containing Q_1 vanish
and we are left w/ $4_B + 4_F = 8$ states.

If 2 charges annihilate $|4\rangle = 2_B + 2_F = 4$ states

If 3 " " " " $1_B + 1_F = 2$ states

If ALL 4 " " " " 1 state.

and if $\exists |4\rangle$ annihilated by all
charges it is the ground state.

Hence, for $E > 0$ the states come in
an EQUAL # of BOSE FERMION states,
but NOT necessarily when $E = 0$.

$$\therefore \text{Tr}_{\text{All Hilbert Space}} \left((-1)^F e^{-\beta H} \right) = \text{Tr}_{E=0 \text{ states}} (-1)^F = M_B - N_F$$

// Recall one can also write

$$\text{Tr}((-1)^F \dots) = \text{Str}(\dots) \text{ eg}$$

$$\text{Tr}(-1)^F = \text{Str} \mathbb{1}$$

Thus:

$$\text{tr}(-1)^F \neq 0 \quad \begin{array}{l} \Rightarrow \\ \nLeftarrow \end{array} \text{SUSY UNBROKEN.}$$

Mild generalization, let \mathcal{O} st.

$$[Q_\alpha, \mathcal{O}] = [\bar{Q}_{\dot{\alpha}}, \mathcal{O}] = 0$$

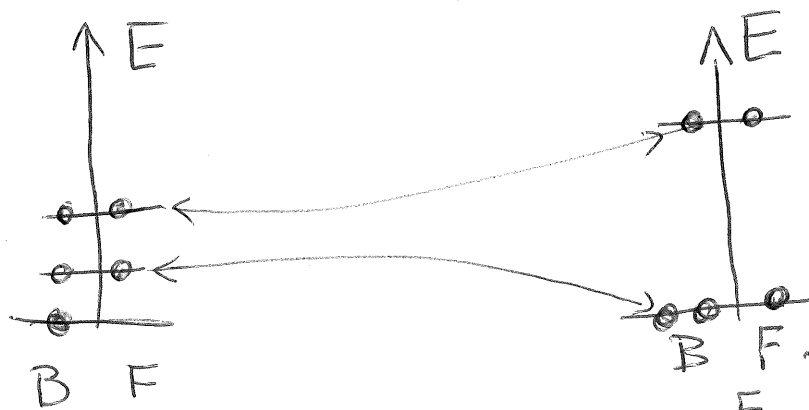
$$\text{then } \text{tr}(\mathcal{O}(-1)^F) \neq 0 \quad \begin{array}{l} \Rightarrow \\ \nLeftarrow \end{array} \text{SUSY UNBROKEN.}$$

Proof: $[\mathcal{O}, H] = 0 \Rightarrow$ diag. simultaneously

$$\text{tr} \mathcal{O}(-1)^F = \sum_{\substack{\lambda \text{ eigenv.} \\ \text{of } \mathcal{O}}} \lambda \text{tr}(-1)^F P_{\mathcal{O}=\lambda} \neq 0$$

\Rightarrow some subspace $\mathcal{H}_{\mathcal{O}=\lambda}$ has a
susy preserving vacuum.

The usefulness of the WITTEN index
is that $\text{tr}(-1)^F e^{-\beta H}$ can be computed
at weak coupling and is INVARIANT UNDER
CHANGE of Parameters (m, g) (that do
not change the asymptotic conditions!)



$$\text{tr}(-1)^F = 1 - 0 = \text{tr}(-1)^F = 2 - 1.$$

Example of discontinuous change:

$$W = m\varphi^2 + g\varphi^3$$

Classically \exists 2 bosonic SUSY vacua.

$$W' = 2m\varphi + 3g\varphi^2 \Rightarrow \varphi = 0, \varphi = -\frac{2m}{3g}.$$

Since \nexists massless fermions:

$$\text{tr}(-1)^F = 2$$

However setting $g=0$ leaves only $\varphi=0$

$$\text{tr}(-1)^F = 1 \quad \text{Discontinuous jump!}$$

(This case is not too interesting since in both cases SUSY is NOT broken).

What has happened is that

one of the vacua ($\varphi = \frac{2m}{3g}$) has been pushed out at ∞ .

This can be also understood by saying that the large φ behavior of $V = |W'|^2$ changes from $|\varphi|^4$ to $|\varphi|^2$ when $g=0$.

Witten showed that for a PURE GAUGE theory (no matter superfield) based on the group G :

NORMALIZED to $2N_c$
for $SU(N_c)$

$$\text{tr}(-)^F = \frac{1}{2} T(\text{Adj}) \neq 0.$$

\therefore PURE gauge theories do NOT break SUSY. $\langle \lambda^2 \rangle$ can be $\neq 0$ but not $\langle \text{tr} F_{uv}^2 \rangle$.

This is also true for NON CHIRAL (VECTOR LIKE, $R \equiv \bar{R}$) gauge theories AS LONG AS adding a mass to all chiral superfields does not change the behavior at ∞ fields!

Thus ANY gauge theory w/ ONLY MASSIVE MATTER will have a SUSY vacuum. (it's pure gauge as $m \rightarrow \infty$)

IMPORTANT CAVEAT: There could be META STABLE ~~SUSY~~ STATES.

eg: (ISS-model) $G = SU(N_c)$ w/ $N_c + 1 < N_f < \frac{3N_c}{2}$

$$W = m_f^{\dagger} \tilde{Q}_{f'} Q_f^{\dagger}$$

The dual theory is IR free and has $G = SU(N_f - N_c)$ w/ N_f $q \tilde{q}$ and a meson M

$$\tilde{W} = h \tilde{q} M q + \mu^2 \text{tr} M$$

$$\frac{\partial \tilde{W}}{\partial M_{f'}^{\dagger}} = h \tilde{q}_{f'} q_{f'} + \mu^2 \delta_{f'}^{\dagger} = 0$$

No solutions, since $\text{rank}(\tilde{q}_{f'} q_{f'}^{\dagger}) \leq N_c < N_f$

It can be checked that the pseudo moduli are stable at the origin



If you really want a susy vacuum you must look for a theory w/ MASSLESS chiral fields:

i) In a CHIRAL theory ($R \neq \bar{R}$) there will always be fields that cannot acquire a gauge invariant mass. (ex! ADS)

ii) There can also be cases of vector-like irreps ($R = \bar{R}$) where $\text{tr}(-)^F$ JUMPS as $m=0$ because V changes asymptotics - (ex IYIT)

ADS. $G = SU(5)$ w/ two families

$$\tilde{Q}_{f=1,2} \in \bar{5} = \bar{\square} \quad T_{f=1,2} \in 10 = \square$$

(gauge anomaly = 0 since $A(\bar{\square}) = -1, A(\square) = +1$)

Anomaly free flavor symmetries:

	$SU(2)_Q$	$SU(2)_T$	$U(1)_A$	$U(1)_R$
\tilde{Q}	2	/	3	-4
T	/	2	-1	1

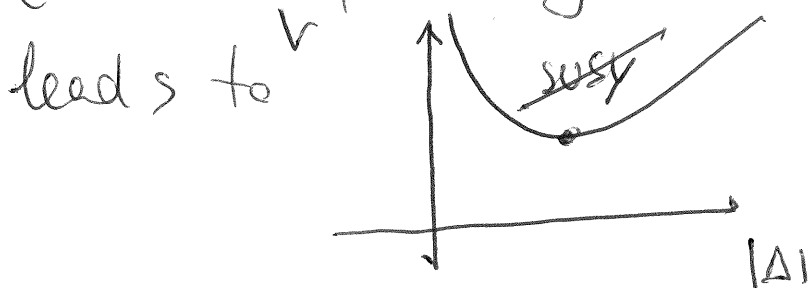
There is ONLY ONE invariant
 under $SU(5)_{\text{gauge}} \times SU(2)_Q \times SU(2)_T \times U(1)_A$
 w R charge = +2:

$$W = R \frac{\Lambda^{11}}{\epsilon_{abcde} \epsilon_{ijklm} \tilde{Q}_1 \tilde{Q}_2 T_1 T_2 T_3 T_4 T_5 T_6 T_7 T_8 T_9 T_{10} T_{11}}$$

Note that $\beta_1 = 3 \cdot 5 - 2 \cdot \frac{1}{2} - 2 \cdot \frac{5-2}{2} = 11$

so the potential ($R \neq 0$) can be generated
 via instantons, and it is!

Adding $W_{\text{tree}} = \lambda T_1^{ab} \tilde{Q}_a \tilde{Q}_b$
 (breaks explicitly some flavor)



No sol. to $\frac{\partial W_{\text{tot}}}{\partial \tilde{Q}} = \frac{\partial W_{\text{tot}}}{\partial T} = 0$.

TYIT $G = SU(2)$ w 4 $Q^i \in \square$ $i=1,2,3,4$
 and 6 gauge singlets $Z_{ij} = -Z_{ji}$

w/o W_{tree} the Z are completely decoupled
 and we have a theory w/ 4 "half" flavors
 ie: $N_c = N_f = 2$

\Rightarrow Classical moduli space modified

Define $V_{ij} = Q_i^c Q_{cj} \equiv -V_{ji}$

Note: $\text{Pf} V = V_{12} V_{34} + V_{13} V_{42} + V_{14} V_{23} = 0$

classically, ie. $\mathcal{M}_{clan} = \{ \text{Pf} V = 0 \}$.

// To see the connection w/ SQCD, write

$$(Q_1, Q_2, Q_3, Q_4) = (Q_1, Q_2, \tilde{Q}_1, \tilde{Q}_2)$$

which can be done for $SU(2)$ since $2 = \bar{2}$.

then $B = V_{12}$ $\tilde{B} = V_{34}$ and

$$M = \begin{pmatrix} V_{13} & V_{14} \\ V_{23} & V_{24} \end{pmatrix} \Rightarrow \text{Pf} V = B \tilde{B} - \det M //$$

We know: $\mathcal{M}_q = \{ \text{Pf} V = \Lambda^4 \}$

$$\text{Adding } W = \lambda \cdot Z^{ij} Q_i^c Q_{cj} \\ = \lambda Z^{ij} V_{ij}$$

$$\text{leads to } \frac{\partial W}{\partial Z^{ij}} = V_{ij} = 0.$$

which is NOT compatible w/ M_9 .

\Rightarrow No sol. (and no runaway)

\Rightarrow ~~susy~~ - ($\Rightarrow \text{tr}(-1)^F = 0$ obviously).

Note:

o) Adding a mass for the Q 's does not change anything since it can be reabsorbed by shifting Z

oo) Adding a mass to Z CHANGES

$V @ \infty$ and in fact the above model w/ $W = \lambda Z^{ij} V_{ij} + m^2 (Z^{ij})^2$

restores susy : $\text{tr}(-1)^F = \frac{1}{4} \cdot 4 = 1$

as in all massive gauge theories