

# Lecture 1 D-branes in type II

The natural ambient for D-branes is type II string. Recall that there are two consistent ten-dimensional string theories with maximal supersymmetry ( $N=2$  or  $32$  supercharges) with massless bosonic fields

IIA  $(g_{\mu\nu}, B_{\mu\nu}, \phi)_{NS^2} \oplus (A_\mu, A_{\mu\nu})_{R-R}$

II B  $(g_{\mu\nu}, B_{\mu\nu}, \phi)_{NS^2} \oplus (\tilde{\psi}, \tilde{B}_{\mu\nu}, A_{\mu\nu\rho})_{R-R}$  with  $\tilde{F}_5 = *F_5$

IIA is non chiral :  $\Psi_{\mu\alpha} + \lambda\dot{\alpha}$  (Majorana-Weyl) +  $\Psi_{\mu\dot{\alpha}} + \lambda\alpha$

II B is chiral :  $\Psi_{\mu\alpha} + \lambda\dot{\alpha}$  +  $\Psi_{\mu\dot{\alpha}} + \lambda\alpha$

the RR-forms are p-forms with an abelian gauge symmetry

$$A_p \rightarrow A_p + d\Lambda_{p-1}$$

generalizing the gauge symmetry of the photon, with curvatures

$$F_{p+1} = dA_p$$

The Lagrangian for massless modes is schematically

$$\mathcal{L} = \int d^{10}x \sqrt{g} e^{-2\phi} (R + (\partial\phi)^2 + H_3^2) + \sqrt{g} \sum_k \tilde{F}_k^2$$

with  $H_3 = dB$

$$\tilde{F}_k = F_k - B \wedge F_{k-2}$$

the electric-magnetic duality in 10 dimensions is defined using a star:

$$A_{9-p} \leftarrow \tilde{F}_{10-p} = *F_p \rightarrow A_{p-1}$$

so that in type IIA  $A_2$  is dual to  $A_7$   
 $A_3$  is dual to  $A_5$

in type IIB  $\tilde{B}$  is dual to  $A_6$   
 $\tilde{\psi}$  is dual to  $A_8$

$A_4^+$  is dual to  $A_0^+$  and it is self-dual by supersymmetry

We can say that

type IIA contains all odd forms  $C = (A_1, A_3, A_5, A_7)$

type IIB all even forms  $(= (\tilde{\psi}, \tilde{B}, A_4, A_6, A_8))$

We will generically indicate with  $C_k$  and  $F_{k+1} = dC_k$  the RR forms.

A state charged under the RR-gauge form  $C_{p+1}$  is a p-brane:

$$\tau \int \sqrt{g} d^{p+1}x + q \int d^{p+1}x A_{p+1} \quad \begin{cases} \tau = \text{tension} \\ q = \text{charge} \end{cases}$$

which generalizes the coupling of a particle of mass  $m$  to the photon

$$m \int ds + q \int dx^\mu A_\mu$$

- There are no perturbative states charged under  $C_{p+1}$  even upon compactification (where  $C_{p+1}$  produces many vectors) i.e. type II. In fact, the RR vertex operator involves only the field strength

$$\sum F_{\mu_1 \dots \mu_{p+1}} S \Gamma^{\mu_1 \dots \mu_{p+1}} \zeta \bar{\zeta}$$

which are derivative couplings.

- There are solitonic solutions of type II supergravity which are black p-branes, extended objects with tension and charge. Important the extremal ones which preserve half of the supersymmetries

$$\begin{aligned} ds^2 &= H^{-1/2}(r) dx_\mu^2 + H^{1/2}(r) dy^2 & \begin{cases} p\text{-odd in type IIB} \\ p\text{-even in type IIA} \end{cases} \\ A_{0 \dots p} &= H(r) \\ e^{\phi} &= g_s H(r)^{\frac{3-p}{4}} \\ H(r) &= 1 + \frac{c g_s N \alpha^{\frac{7-p}{2}}}{r^{7-p}} & \square H = S \end{aligned}$$

with  $t = \frac{N}{(2\pi)^2 g_s \alpha^{\frac{p+1}{2}}} = \frac{q}{g_s}$ .  $N$  is defined as an integer

$\int *F_{p+2} = N$  by Dirac quantization condition.

In a generic monopole we would expect  $m^2 \sim \frac{1}{g_s^2}$ . Why here  $m \sim 1/g_s$ ?

Extremal p-branes, breaking half of the susies, are BPS objects. Recall basic facts about central charges in supersymmetry. Use type IIA as example:

$$\begin{aligned} \text{two charges } (Q_\alpha, \bar{Q}_{\dot{\alpha}}) & \quad \{Q_\alpha, Q_\beta\} = (M^{\mu\nu})_{\alpha\beta} P_\mu \rightarrow Q^2 \sim H \\ & \quad \{\bar{Q}_{\dot{\alpha}}, \bar{Q}_{\dot{\beta}}\} = (M^{\mu\nu})_{\dot{\alpha}\dot{\beta}} P_\mu \rightarrow \bar{Q}^2 \sim H \\ \text{a mixed term is allowed} & \quad \{Q_\alpha, \bar{Q}_{\dot{\beta}}\} = \delta_{\alpha\dot{\beta}} Z \end{aligned}$$

$Z$  is allowed by the algebra and it is a central charge. Take a particle in its center of rest frame  $P_\mu = (M, 0, \dots)$  with mass  $M$

by taking suitable combinations of the two charges we can combine the susy algebra in

$$0 \leq Q^T A Q = \begin{pmatrix} Q_1^T Q_1 & 0 \\ 0 & Q_2^T Q_2 \end{pmatrix} = \begin{pmatrix} M+Z & 0 \\ 0 & M-Z \end{pmatrix}$$

and therefore

I)  $|M| \geq |Z|$  and  $M = \pm Z$  iff  $Q_1$  or  $Q_2$  annihilates the state (BPS)

II) BPS states are short multiplets:  
 $Q_1 |\Omega\rangle = 0 \Rightarrow$  multiplet  $Q_2 \dots Q_n |\Omega\rangle$   
 has half of the states

III)  $|M| = |Z|$  is not corrected perturbatively  
 In fact if  $M = Z$ , the multiplet is short and new states cannot be created in perturbative expansion.  
 $M$  and  $Z$  can be renormalized (ex:  $N=2$  gauge theories where  $Z(\tau)$ )

IV) Since charge is additive, mutually BPS states exert no force on each other  
 $E_{\text{INTERACTION}} = M(\text{I+II}) - M(\text{I}) - M(\text{II}) = Z(\text{I+II}) - Z(\text{I}) - Z(\text{II}) = 0$

Extremal p-branes saturates the bound. Type II has central charges

$$\text{IIA: } \{Q_\alpha, \bar{Q}_\beta\} = \delta_{\alpha\beta} Z + (\Gamma^{\mu_1 \dots \mu_{2n}} C)_{\alpha\beta} Z_{\mu_1 \dots \mu_{2n}}$$

$\underbrace{\hspace{10em}}$   
 central charge for  $(2n+1)$ -brane

$$\text{IIB: } \{Q_\alpha, \bar{Q}_\beta\} = (\Gamma^{\mu_1 \dots \mu_{2n+1}} C)_{\alpha\beta} Z_{\mu_1 \dots \mu_{2n+1}}$$

$\downarrow$   
 $(2n+2)$ -branes

Black p-branes in fact satisfy  $t \geq q/g_5$ . This condition is similar to the  $M^2 \geq Q^2$  in Kerr black holes. Extremal branes are BPS, preserve 16 susies, saturate the bound  $t = q/g_5$  and exert no force on each other

$$\bullet \underbrace{\frac{g_{\mu\nu}}{t}}_{\substack{\text{gravitational} \\ \text{attraction} \\ \text{repulsion}}} \bullet \oplus \bullet \underbrace{\frac{A_{(p+1)}}{q}}_{\substack{\text{gauge} \\ \text{attraction}}} \bullet = 0$$

In fact, there is a more general solution with

$$H(\gamma) = 1 + (g_5 \alpha')^{\frac{2+p}{2}} \sum_{i=1}^N \frac{1}{|\gamma - \gamma_i|^{7-p}}$$

which has total charge  $N$ ,  $t = q/g_5$  and preserves 16 susies. It corresponds to  $N$  branes in general position.

BPS states in 4d:

$$\{Q_\alpha^i, \bar{Q}_\beta^j\} = (\sigma_\rho)_{\alpha\beta} P^\rho \delta_{ij}$$

$$\{Q_\alpha^i, Q_\beta^j\} = \varepsilon^{ij} \varepsilon_{\alpha\beta} \hat{Z}$$

$$[\hat{Z}, P] = [\hat{Z}, Q] = 0$$

In the center of mass of a particle  $P^\mu = (M, 0, 0, 0)$   
 $\sigma_0 = I$

$$\{Q_\alpha^i, \bar{Q}_\beta^j\} = \delta_{\alpha\beta} M \delta_{ij}$$

$$\{Q_\alpha^i, Q_\beta^j\} = \varepsilon^{ij} \varepsilon_{\alpha\beta} \hat{Z}$$

$Z$  real  
phase of  
 $Z$   
real scalars

Defining

$$Q_\alpha^I = \frac{Q_\alpha^1 + \varepsilon_{\alpha\beta} \bar{Q}_\beta^2}{\sqrt{2}}$$

$$Q_\alpha^{II} = \frac{Q_\alpha^1 - \varepsilon_{\alpha\beta} \bar{Q}_\beta^2}{\sqrt{2}}$$

$$\{Q_\alpha^I, Q_\beta^{I+}\} = \delta_{\alpha\beta} (M + Z)$$

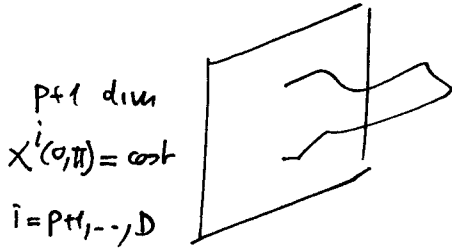
$$\{Q_\alpha^{II}, Q_\beta^{II+}\} = \delta_{\alpha\beta} (M - Z)$$

# Lecture 2

# D-branes: perturbative description

D-branes and extended object can be introduced as planes where open strings can end. Consider the case of the

bosonic string ( $D=26$ ) and a  $Dp$ -brane: a  $(p+1)$  plane



$$S = \frac{1}{2\alpha'} \int \delta X \delta X$$

$$\delta S \cong \frac{2}{2\alpha'} \left( \int \delta X (\partial \delta X) + \int \partial (\delta X \partial_n X) \right)$$

$$\left. \begin{array}{l} \partial_n X = 0 \\ \partial_t X = 0 \Rightarrow X = \text{const} = X^{(0)} \end{array} \right\} \begin{array}{l} N \\ D \end{array}$$

$\partial \delta X = 0 \Rightarrow X = X_R(\sigma+\tau) + X_L(\sigma-\tau)$  where I can write the general solution as

$$X_{L,R} = x_{L,R} + i\sqrt{\frac{\alpha'}{2}} \alpha_{L,R}^0 (\tau \pm \sigma) + i\sqrt{\frac{\alpha'}{2}} \sum_{n \neq 0} \frac{\alpha_{L,R}^n}{n} e^{\pm i n (\tau \pm \sigma)}$$

$\alpha_0 = \begin{cases} \sqrt{\frac{E'}{2}} p_\mu & \text{closed string} \\ \sqrt{2\alpha'} p_\mu & \text{open string} \end{cases}$  ( $\alpha' = 1/2$ )

Solution for D-brane is

$$\left. \begin{array}{l} \alpha_\mu = \bar{\alpha}_\mu \\ \alpha^i = -\bar{\alpha}^i \end{array} \right\} \begin{array}{l} N \\ D \end{array} \quad \sigma \in [0, \pi]$$

$$\delta X(z) = -i\sqrt{\frac{\alpha'}{2}} \sum \frac{\alpha_n}{z^{n+1}} \quad \left\{ \begin{array}{l} \tau = -i\tau_E \\ z = e^{\tau - i\sigma} \end{array} \right.$$

$$X^\mu = x^\mu + 2\alpha' p^\mu \tau + i\sqrt{2\alpha'} \sum_{n \neq 0} \frac{\alpha_n^\mu}{n} e^{-in\tau} \cos(n\sigma)$$

$$X^i = i\sqrt{2\alpha'} \sum_{n \neq 0} \frac{\alpha_n^i}{n} e^{-in\tau} \sin(n\sigma) + x^{i(0)}$$

$$2\alpha' = 1$$

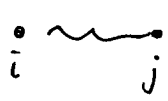
The massless spectrum is

$$a_{-1}^\mu |0\rangle \quad a_{-1}^i |0\rangle$$

There are no zero modes or momenta for the  $D$ -directions: d.o.f propagate only in  $\mu = 0, \dots, p$  ( $p+1$ ) dimensions. The spectrum consists of a vector  $A_\mu$  and  $D-p-1$  scalars localized on the brane.

MEMORIC: Physical states have  $L_0(p+1) = 0$  with  $L_0 = \frac{p^2}{2} + N + E_0$ . Massless states have  $N + E_0 = 0$   
 $N = \#$  as oscillators  
 $E_0 = -\frac{1}{24}$  for each boson.  
 Working in light-cone  $E_0 = -\frac{1}{24} \cdot 24 = -1$

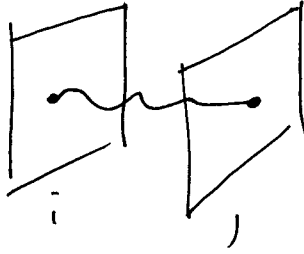
As usual in open string I can add Chan-Paton factors



$$a_{-1}^{\mu} |ij\rangle \rightarrow A_{\mu}^a T_{ij}^a \in U(N)$$

$i=1, \dots, N$

with D-branes the Chan-Paton is interpreted as a label for the brane where the open string ends.



• What happens for low superstring?

$$\int dt d\tau (\partial X^\mu \partial X_\mu + i \bar{\Psi}^\mu \not{\partial} \Psi_\mu)$$

each fields breaks into left + right movers

$$\begin{cases} X_\mu \rightarrow X_{\mu L} + X_{\mu R} \\ \Psi_\mu \rightarrow \begin{pmatrix} \Psi_{\mu L} \\ \Psi_{\mu R} \end{pmatrix} \end{cases}$$

Each (left or right) sector give

$$\begin{aligned} X_\mu &\rightarrow a_n^\mu \\ \Psi_\mu &\rightarrow \psi_n^\mu = \begin{cases} n \in \mathbb{Z} + \frac{1}{2} & \text{antiperiodic NS} \\ n \in \mathbb{Z} & \text{periodic R} \end{cases} \end{aligned}$$

MNEMONIC:  $E_0 = \xi \begin{pmatrix} -1/24 & \text{periodic} \\ 1/48 & \text{antiper} \end{pmatrix}$   $\xi = \pm 1$  bosons fermions

In R sector  $\psi_0^\mu$  have zero energy and  $\{\psi_0^\mu, \psi_0^\nu\} = \delta^{\mu\nu}$  act as gamma matrices for  $SO(1,9)$

$$\begin{aligned} (1, \dots, 15) = \psi_0^{\mu_1} \dots \psi_0^{\mu_{15}} |0\rangle &\rightarrow SO(1,9) \text{ spinors} = \\ 2^5 = 32 = 16 + \overline{16} &\rightarrow \text{Majorana-Weyl} \end{aligned}$$

Massless states in each sector  $L_0 |phy\rangle = (\frac{E_0}{2} + N + E_0) |phy\rangle = (N + E_0) |phy\rangle = 0$

NS:  $\psi_{-1/2}^\mu |0\rangle$   $E_0(\text{NS}) = -\frac{8}{48} - \frac{8}{24} = -\frac{1}{2}$

R:  $|S\rangle = |\alpha\rangle + |\bar{\alpha}\rangle$   $E_0(\text{R}) = -\frac{8}{24} + \frac{8}{24} = 0$   
 $2^5 = 32$  Dirac  $\downarrow \downarrow$  Majorana-Weyl  $\underline{16} + \overline{16}$

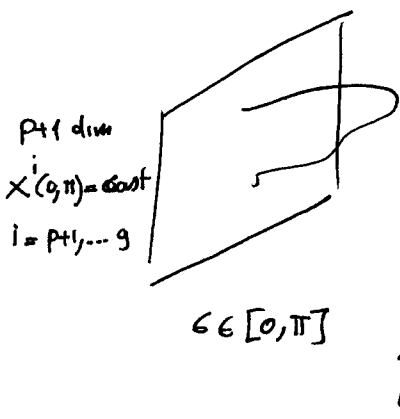
The spectrum of type IIA is obtained by tensoring left and right movers and taking GSO projection: keep only states with even fermionic number  $(-1)^F$

NS-NS  $\psi_{-1/2}^\mu \bar{\psi}_{-1/2}^\nu |0\rangle$   $(g_{\mu\nu}, B_{\mu\nu}, \phi)$

R-R IIA:  $|\alpha\rangle \otimes |\bar{\alpha}\rangle$   $(F_2, F_4)$

IB:  $|\alpha\rangle \otimes |\alpha\rangle$   $(F_1, F_3, F_5)$

• D-branes are introduced as planes where open strings can end



$$\delta S = \frac{1}{2} \int \delta x \delta x = - \int \delta x (\delta \delta x) + \int \delta (\delta x \delta_n x)$$

$$\begin{cases} \delta_n x = N \\ \delta x = 0 = D \end{cases}$$

Neumann  $\delta_\sigma X^\mu = 0 \quad \mu = 0, \dots, p$

Dirichlet  $\delta_\tau X^i = 0 \quad i = p+1, \dots, 9$

$$\left. \begin{array}{l} N \\ D \end{array} \right\} \begin{array}{l} X^\mu = x^\mu - i p^\mu \tau + i \sum_m \frac{\alpha_m^\mu}{m} e^{im\tau} \cos m\sigma \longrightarrow \alpha^\mu = \bar{\alpha}^\mu \\ X^i = x^i + i \sum_m \frac{\alpha_m^i}{m} e^{im\tau} \sin m\sigma \longrightarrow \alpha^\mu = -\bar{\alpha}^\mu \end{array}$$

For 2d susy also fermions are identified

$$\psi^\mu = \bar{\psi}^\mu \quad \psi^i = -\bar{\psi}^i$$

The massless spectrum is (after GSO)

$$\begin{array}{l} NS \quad \psi_{-1/2}^\mu |0\rangle \quad \psi_{-1/2}^i |0\rangle \\ R \quad |\alpha\rangle \end{array}$$

There are no zero modes for momenta or coordinates in the Dirichlet directions: dof. propagate only in  $\mu = 0, \dots, p$  ( $p+1$  dimensions).

The spectrum is the dimensional reduction of the 10 dimensional YM action.

• D-branes as BPS objects:

The identification preserves only some supersymmetries of the bulk theory

$$\psi^i \rightarrow -\bar{\psi}^i \quad \text{acts on the spinor as } |\alpha\rangle \rightarrow \left( \prod_{i=1}^p \Gamma_i \right) |\alpha\rangle$$

so that  $\mathcal{E}_L \cong \prod_{p+1}^9 \Gamma_i \mathcal{E}_R \xrightarrow[\Gamma_i \mathcal{E}_R = \mathcal{E}_R]{OR} \mathcal{E}_L \cong \Gamma_0 \dots \Gamma_p \mathcal{E}_R$

$\left. \begin{array}{l} \Gamma_i \Gamma_j \\ \Gamma_i \Gamma_j \end{array} \right\}$  anticommutes with  $\Gamma_i$  and commutes with all  $\Gamma_j, j \neq i$

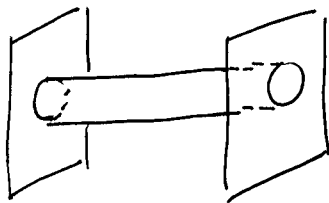
It follows that I) it preserves half of the supersymmetries

II) Since  $\Gamma_i$  change chirality and  $\mathcal{E}_R$  and  $\mathcal{E}_L$  have the same (opposite) chirality in type IIB (IIA):

$D_p$  branes exist in IIA for  $p$  even  
 // in IIB for  $p$  odd



• D-branes as lensianful charged objects



$\beta = 0$  because is a 1-loop vacuum energy for a supersymmetric open string.

However in the closed channel is a tree-level exchange of bosonic NS-NS and R-R fields

$$O(\tau^2) \times \frac{\quad}{g_{\mu\nu}, \phi} \times \oplus O(q^2) \times \frac{\quad}{A_{(p+1)}} \times$$

- where I see that
- there is no force between D-branes
  - the BPS condition is  $\tau = g/g_s$
  - I can explicitly compute

$$g = \frac{1}{(2\pi)^p \alpha'^{\frac{p+1}{2}}}$$

• D-branes and non-abelian gauge theories

As usual in open strings I can add Chan-Paton factors

$$\begin{matrix} \text{---} \\ | \\ i \end{matrix} \text{---} \begin{matrix} \text{---} \\ | \\ j \end{matrix} \quad \psi_{\frac{1}{2}}^\mu |ij\rangle \quad \rightsquigarrow \quad A_\mu^a T_{ij}^a \in U(N) \quad i=1, \dots, N$$

With D-branes the Chan-Paton is interpreted as a label for the brane where the open string ends. The world-volume theory on  $N$  D-branes is then the dimensional reduction of the  $U(N)$  SYM in ten dimensions

Restricting to the bosonic fields

$$A_M(x, y) = \begin{cases} A_\mu(x) & \mu=0, \dots, p \\ \phi_i(x) & i=p+1, \dots, 9 \end{cases}$$

$$\begin{cases} M=0, \dots, 9 \\ x_\mu \rightarrow 0, \dots, p \\ y_i \rightarrow p+1, \dots, 9 \end{cases}$$

$$\begin{aligned} \frac{1}{g^2} \int d^{10}x \text{tr} F_{\mu\nu}^2 &= \int d^{10}x \frac{1}{g^2} \text{tr} (\partial_\mu A_\nu - \partial_\nu A_\mu + i[A_\mu, A_\nu])^2 = \\ &= \frac{1}{g^2} \int d^{10}x \text{tr} \left[ F_{\mu\nu}^2 + (D_\mu \phi^i)^2 + [\phi_i, \phi_j]^2 \right] \end{aligned}$$

Example: U(2)

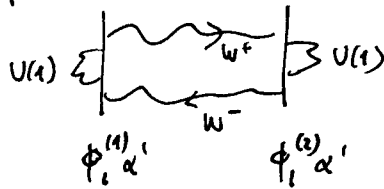
Field theory vacua:  $V(\phi) = \text{tr} [\phi_i, \phi_j]^2 \geq 0$   $V(\phi) = 0$  iff  $[\phi_i, \phi_j] = 0$   
 hermitian matrices can be simultaneously diagonalized

$$\phi_i = \begin{pmatrix} \phi_i^{(1)} & 0 \\ 0 & \phi_i^{(2)} \end{pmatrix}$$

If  $A_\mu = \begin{pmatrix} A_\mu^{11} & A_\mu^{22} \\ A_\mu^{21} & A_\mu^{12} \end{pmatrix}$ , from  $\sum_i \text{tr} (D_\mu \phi_i)^2 \rightarrow \sum_i \text{tr} [A_\mu, \phi_i]^2 = \sum_i (\phi_i^{(1)} - \phi_i^{(2)})^2 |A_\mu^{12}|^2$   
 so  $A_\mu^{11}, A_\mu^{22}$  are massless and  $A_\mu^{12}, A_\mu^{21}$  massive

There is a Higgs mechanism  $U(2) \rightarrow U(1) \times U(1)$   
 with masses<sup>2</sup> for  $W^\pm$  proportional to  $\sum_i |\phi_i^{(1)} - \phi_i^{(2)}|^2$

Space-time vacua: D-branes in positions  $x_i = \alpha' \phi_i$



$$M_{W^\pm}^2 \cong \frac{1}{\alpha'} \sqrt{\sum_i |x_i^{(1)} - x_i^{(2)}|^2} \quad (\text{dimensional reasons}) = \sqrt{\sum_i |\phi_i^{(1)} - \phi_i^{(2)}|^2}$$

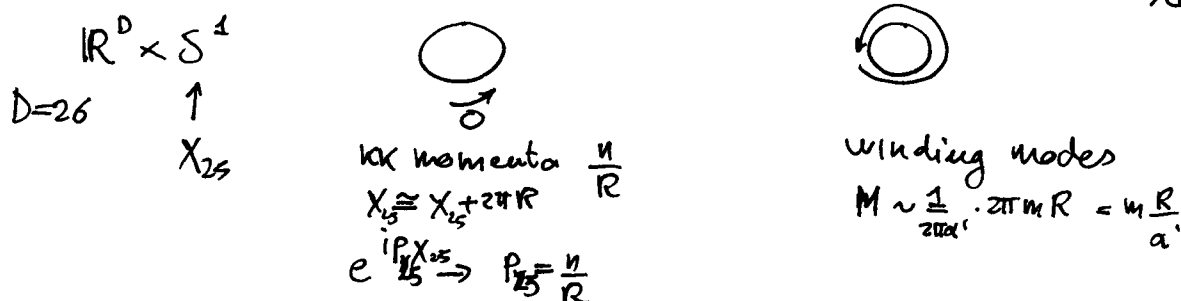
The fact that there is no force between D-branes corresponds to the fact that there is a moduli space of vacua in field theory.



# Lecture 3 D branes and T duality

T duality is a symmetry of the closed string which states that a string theory compactified on a circle of radius  $R$  is equivalent to a string defined on  $R' = \alpha'/R$ .

All the physics can be seen in the bosonic case by dimensional reduction



The mass spectrum is indeed

$$M^2 = -p^2 = \frac{n^2}{R^2} + \frac{m^2 R^2}{\alpha'^2} + \frac{2}{\alpha'} (N + \bar{N} - 2)$$

and is invariant under

$$\begin{cases} R \leftrightarrow \frac{\alpha'}{R} \\ n \leftrightarrow m \\ \alpha \leftrightarrow \alpha \end{cases}$$

oss: it is instructive to see it explicitly. the mode expansion is now

$$\begin{cases} X^\mu = x^\mu + \bar{x}^\mu + \alpha' p^\mu \tau + \text{osc.} \\ X_{25} = X_{25} + \bar{X}_{25} + \alpha' \frac{n}{R} \tau - m R \sigma + \text{osc.} \end{cases}$$

$$\begin{cases} X_L^{25} = X_{25} + \frac{\alpha'}{2} \left( \frac{n}{R} + \frac{m R}{\alpha'} \right) (\tau - \sigma) + \text{osc.} & \rightarrow & P_L = \frac{n}{R} + \frac{m R}{\alpha'} & L_0 = \frac{\alpha'}{2} \frac{P_L^2}{2} + N - 1 \\ X_R^{25} = \bar{X}_{25} + \frac{\alpha'}{2} \left( \frac{n}{R} - \frac{m R}{\alpha'} \right) (\tau + \sigma) + \text{osc.} & & P_R = \frac{n}{R} - \frac{m R}{\alpha'} & \bar{L}_0 = \frac{\alpha'}{2} \frac{P_R^2}{2} + \bar{N} - 1 \end{cases}$$

$$L_0 \neq \bar{L}_0 \quad \rightarrow \quad P_L^2 - P_R^2 = \frac{4}{\alpha'} (N - \bar{N}) \quad \rightarrow \quad nm = N - \bar{N}$$

$$L_0 | \text{Phys} \rangle = 0 \quad \rightarrow \quad M^2 = -p^2 = \frac{P_L^2 + P_R^2}{2} + \frac{2}{\alpha'} (N + \bar{N} - 2)$$

Observe that under T duality

$$\begin{cases} P_L^{25} \rightarrow P_L^{25} \\ P_R^{25} \rightarrow -P_R^{25} \end{cases} \quad \begin{cases} \partial X_L^{25} \rightarrow \partial X_L^{25} \\ \partial \bar{X}_R^{25} \rightarrow -\partial \bar{X}_R^{25} \end{cases} \quad (\text{a sign in } \alpha' \text{ is irrelevant for everything})$$

the T dual theory can be written as function of  $X_{25}^1 = X_L^{25} - X_R^{25}$

In type II, by 2d supersymmetry I should send

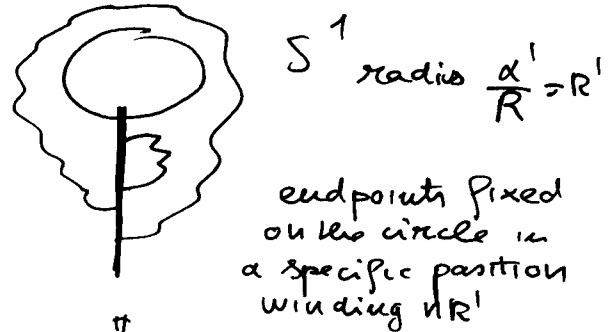
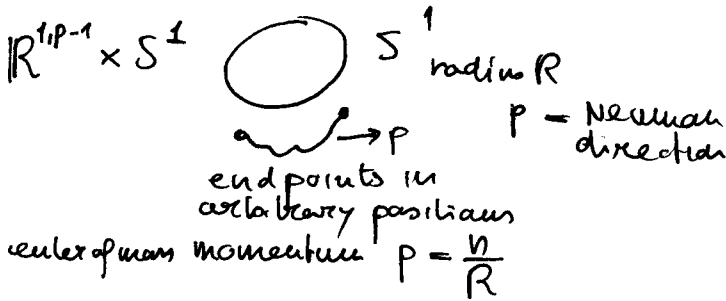
$$\begin{cases} P_R \rightarrow -P_R \\ \bar{\Psi} \rightarrow -\bar{\Psi} \end{cases}$$

and in the Ramond sector  $\bar{\Psi}_0^8 + \psi_0^9 \rightarrow \bar{\Psi}_0^8 - \psi_0^9 = \bar{\Psi}_S$

$$\begin{aligned} | \pm \rangle &\rightarrow | \mp \rangle \\ | \alpha \rangle &\rightarrow | \dot{\alpha} \rangle \end{aligned}$$

chirality is flip and IIA and IIB are exchanged!

• What happens to D-branes? suppose that we compactify on a Dp-brane in the direction p:



In fact  $X_p^8(\pi) - X_p^8(0) = \int_0^\pi d\sigma \partial_\sigma X_p^8 = \int_0^\pi d\sigma \partial_\sigma (X_p^L(\tau+\sigma) - X_p^R(\tau+\sigma))$

$$= - \int_0^\pi d\sigma \partial_\sigma (X_p^L + X_p^R) = - \int_0^\pi d\sigma \partial_\tau X_p = - \int_0^\pi d\sigma \partial_\tau (x_p + \frac{\alpha'}{R} \frac{x'}{R})$$

$\swarrow$  Neumann direction

$$= -2\pi \alpha' p = -2\pi \frac{\alpha'}{R} n = -2\pi n R'$$

A Dp-brane in type IIA (type IIB) becomes a D(p-1)-brane in type IIB (IIA) and momenta in the p directions becomes winding in the T dual theory

This is consistent with the world-volume theory. In the original theory with N branes, the (p+1) YM theory is compactified on a circle of radius R

$$\begin{aligned} A_\mu & \text{ in } R^{p,1} \longrightarrow \begin{cases} A_\mu \\ \phi_p \end{cases} \text{ in } R^{p,1} \\ \phi_i & \text{ } i=p+1, \dots, 9 \longrightarrow \phi_i \end{aligned}$$

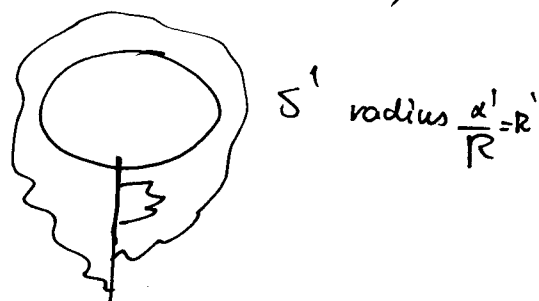
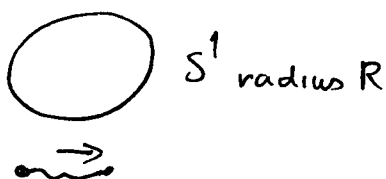
field content for a D(p-1) brane

What is the interpretation of  $\phi$ ? Recall that  $\phi_i$ , in both theories parametrize the positions of the branes in the  $p+1, \dots, 9$  directions. In the T dual theory,  $\phi^{\alpha'}$  is an angle  $\alpha'\phi \in [0, 2\pi R']$  parametrizing the position of the  $D(p-1)$  brane on the circle. In the original theory  $\phi$  is a Wilson line: the  $A_p$  component of the gauge field is indeed periodic

$$\frac{\phi}{2\pi} = A_p \rightarrow A_p + ie^{\frac{+i n p}{R}} \partial_p e^{\frac{-i n p}{R}} = A_p + \frac{n}{R} = \frac{\phi}{2\pi} + \frac{n R'}{\alpha'}$$

$\uparrow$   
 well-defined  
 on  $S^1$  of radius  $R$

Summary: as a dimensionally reduced  $d$ -dim theory



Massless Modes  $(A_\mu, \phi, \phi_i) \quad i=p+1, \dots, 9$

massive Modes KK momenta  $P = \frac{n}{R} : M^2 = \frac{n^2}{R^2}$

string modes oscillators

$(A_\mu, \phi_i) \quad i=p, \dots, 9$

winding modes  $n R' : M^2 = \frac{n^2 R'^2}{\alpha'^2}$

oscillators

The spectrum is the same.

# Lecture 4 D-branes and orientifolds

Real gauge groups are obtained by performing projections. It turns out that the relevant projection involves a world-sheet parity inversion.

For a Dp-brane consider the projection  $\Omega \mathbb{Z}_2((-1)^{F_L} *)$  (bosonic string)

$$\Omega : \sigma \rightarrow \pi - \sigma \quad \rightsquigarrow \quad \leftarrow \quad \rightarrow \quad \rightsquigarrow \quad \leftarrow$$

$$\mathbb{Z}_2 : x^i \rightarrow -x^i \quad i = p+1, \dots, 9$$

On oscillators:

$$\Omega : \begin{aligned} \alpha_m^\mu &\rightarrow (-1)^m \alpha_m^\mu & \tilde{\alpha}_m^i &\rightarrow -(-1)^m \tilde{\alpha}_m^i \\ \psi_{m+\frac{1}{2}}^\mu &\rightarrow (-1)^{m+\frac{1}{2}} \psi_{m+\frac{1}{2}}^\mu & \psi_{m+\frac{1}{2}}^i &\rightarrow -(-1)^{m+\frac{1}{2}} \psi_{m+\frac{1}{2}}^i \end{aligned}$$

$$\mathbb{Z}_2 : \begin{aligned} (\alpha_m^\mu, \psi_{m+\frac{1}{2}}^\mu) &\rightarrow +(\alpha_m^\mu, \psi_{m+\frac{1}{2}}^\mu) \\ (\alpha_m^i, \psi_{m+\frac{1}{2}}^i) &\rightarrow -(\alpha_m^i, \psi_{m+\frac{1}{2}}^i) \end{aligned}$$

$2\alpha' = 1$   
 $x^\mu = x^\mu + p^\mu \tau + i \sum_{n \neq 0} \frac{\alpha_n^\mu}{n} e^{in\sigma} \cos n\sigma$   
 $x^i = i \sum_{n \neq 0} \frac{\tilde{\alpha}_n^i}{n} e^{in\sigma} \sin n\sigma$   
 $\uparrow$   
 $\sqrt{2\alpha'}$

The bosonic vertex gets an overall minus

$$\psi_{-1/2}^\mu |0\rangle \rightarrow -\psi_{-1/2}^\mu |0\rangle \quad \psi_{-1/2}^i |0\rangle \rightarrow -\psi_{-1/2}^i |0\rangle$$

Orientational of strings will be reversed:  $i \rightarrow i$   
 Since the string has Chan-Paton factors  $\lambda_{ij}$  we can also act on them  $\lambda \rightarrow \gamma \lambda \gamma^{-1}$ . This is equivalent to act on the gauge indices with a gauge transformation

$$A_{ij}^\mu \rightarrow -\gamma (A_{ij}^\mu)^T \gamma^{-1}$$

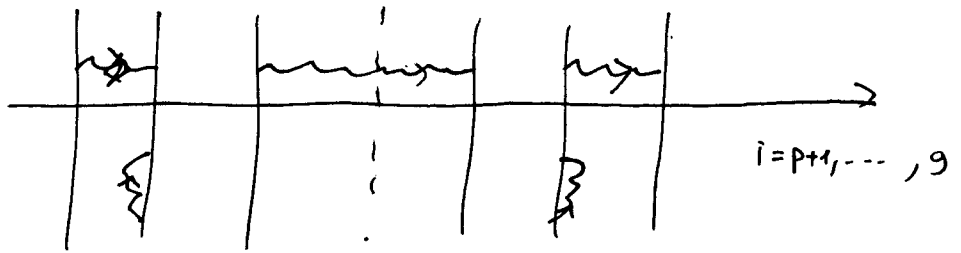
$N \times N$  matrix

The square is  $A \rightarrow (\gamma \gamma^{-1}) A (\gamma \gamma^{-1})^{-1}$  and  $\gamma = \pm \gamma^T$ . With a change of basis

- $\gamma$  symmetric:  $\gamma = I, A = -A^T \Rightarrow U(N) \rightarrow SO(N)$
- $\gamma$  anti-symmetric:  $\gamma = J, A = -JA^T J^{-1} \Rightarrow U(N) \rightarrow USp(2N)$  (Newer)

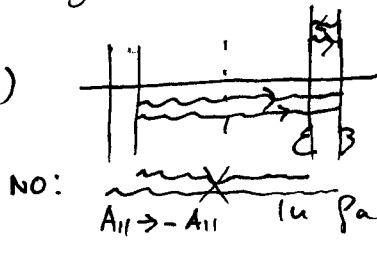
\*  $(-1)^{F_L}$  is required to maintain supersymmetry and it is there for particular values of  $p = 7, 6, 3, 2$

Pictorial view:



There is a reflection plane, called orientifold,  $O_p^\pm$  acting by a  $\mathbb{Z}_2$  projection with respect to the mirror, a parity inversion on orientation and an action  $\gamma^\pm$  on Chan-Paton. Every brane has an image. Each brings a  $U(1)$  (identified with the one on the image brane). The rank, starting from  $U(2N)$  is  $N$ . We talk of  $N$  physical branes.

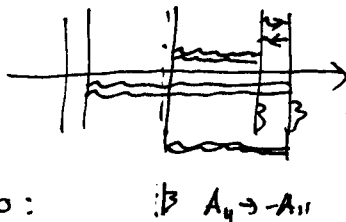
Ex I:  $SO(4)$



$U(1) \times U(1)$  + four massive gauge bosons  
 $SO(4) \rightarrow U(1) \times U(1)$

no:  $A_{11} \rightarrow -A_{11}$  in fact  $\pm e_i \pm e_j$  are the roots of  $SO(2N)$

Ex II:  $SO(5)$



$U(1) \times U(1)$  + eight massive gauge bosons  
 $SO(5) \rightarrow U(1) \times U(1)$

no:  $A_{11} \rightarrow -A_{11}$  This is sometimes called a  $\tilde{O}_p$

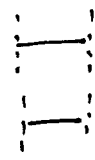
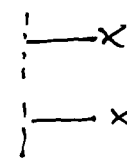
Orientifold planes carry tension and charge. The projection  $\Omega\mathbb{Z}_2(-1)^F$  on the string spectrum introduces new contribution in the 1-loop amplitude

$$\text{Diagram} \rightarrow \text{Diagram} \oplus \text{Diagram} \oplus \text{Diagram} = 0$$

$\sim$   $\uparrow$  orientifold plane

$$NS^2 \quad X \xrightarrow{g, \dagger} X$$

$$R^2 \quad X \xrightarrow{A_{(p+1)}} X$$



The result of a computation give (measured in units of physical branes)

$O_p$  orientifolds are BPS objects exactly as  $D_p$ -branes

$$q_{O_p^\pm} = \pm 2^{p-5} q_{D_p}$$

$$q_{\tilde{O}_p} = \left(\frac{1}{2} - 2^{p-5}\right) q_{D_p}$$



# D branes in compact space:

If we compactify the space transverse to a Dp-brane on  $T^{9-p}$  we must be careful about charge conservation:

Gauss law  $d * F_{(p+2)} = \sum q_i \delta(x-x_i)$

$$0 = \int_{T^{9-p}} d * F_{(p+2)} = \sum q_i \Rightarrow \text{Total charge in a compact space must be zero.}$$

If we have Dp-branes we must also have Op planes.

Example: type I superstring = IIB /  $\Omega$  + N D9  
 $g_L = g_R$   $g_L = g_R$

closed string  $\Omega$ :  $\begin{cases} \psi_{-1/2}^{\mu} \psi_{-1/2}^{\nu} |0\rangle \rightarrow g_{\mu\nu}, \cancel{B_{\mu\nu}}, \phi \\ |\alpha\rangle |\bar{\alpha}\rangle \rightarrow \cancel{X_{\mu}}, \cancel{B_{\mu\nu}}, \cancel{A_{\mu\nu}^+} \end{cases}$

$O9^{\pm}$  Open string  $\Omega$ :  $U(2N) \rightarrow \begin{cases} SO(2N) \\ USp(2N) \end{cases}$

but the charge of  $O9^{\pm}$  is  $(\pm 16)$  the charge of a physical D9: only  $O9^-$  with 16 physical D9 is consistent. In terms of equation of motions, since  $F_{11} = dA_{10} = 0$ , we have a "badpole"

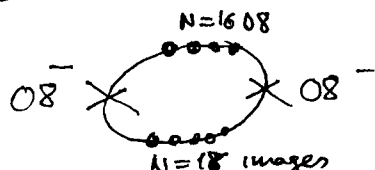
$S_{eff} = (N-16) \int A_{10} \Rightarrow SO(32)$  gauge groups

charge cancellation = badpole = anomaly cancellation

Example: the construction is consistent with T duality

$X \rightarrow X' = X_L - X_R$   $\Omega$ :  $\begin{cases} X'_L = X_L \rightarrow X'_R = -X_R \\ X'_R = -X_R \rightarrow X'_L = -X_L \end{cases}$   $\Omega$  becomes  $\Omega Z_2$   
 D9 becomes D8  
 IIB becomes IIA

the manifold  $O9^-$  becomes two copies of  $O8^-$



since  $\Omega Z_2$  on  $S^1$  has two fixed points:  $\phi=0, \phi=\pi$

Total charge =  $(+16) + 2(-2^{8-5}) = 16 + 2(-8) = 0$

these theories are also called type I'

